# A General Semantics for Evaluation Logic

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#### Abstract

The semantics of Evaluation Logic proposed in [14] relies on additional properties of monads. This paper proposes an alternative semantics, which drops all additional requirement on monads, at the expense of stronger assumptions on the underlying category. These assumptions are satisfied by any topos, but not by the category of cpos. However, in the setting of Synthetic Domain Theory (see [7, 23]) it is possible to reconcile the needs of Denotational Semantics with those of Logic.

### 1 Introduction

Evaluation logic  $(EL_T)$  is a typed predicate logic originally proposed by [18], which is based on the metalanguage  $ML_T$  for computational monads (see [12]) and permits statements about the evaluation of programs to values by the use of evaluation modalities: necessity and possibility. In particular,  $EL_T$  might be used for axiomatizing computation-related properties of a monad or devising computationally adequate theories (see [18]), and it appears useful when addressing the question of logical principles for reasoning about the behavior of programs.  $EL_T$  provides a framework for presenting programming languages and program logics in analogy with LCF (see [4]), but with the additional abstraction mechanism given by computational types.

[18] adopts a very general notion of model for  $EL_T$ based on indexed posets, where the interpretation of evaluation modalities is not *canonical* (i.e. it is not determined by the underlying monad). In this way, the modalities have to be interpreted on a case by case basis. To overcome this problem, [14] proposes a *canonical* semantics of necessity, under the assumption that the monad satisfies some additional properties. Unfortunately, these properties are not satisfied by some monads used in Denotational Semantics.

This paper proposes a *canonical* semantics of necessity, which makes no assumptions on the monad T(which must be *fibered*), but it requires the underlying category C to have finite limits and a *stable* and *proper* factorization system. The latter requirement is not an issue in toposes or regular categories, but cannot be satisfied in the category of cpos. However, it is possible to reconcile the needs of Denotational Semantics with those for interpreting  $EL_T$ . In fact, Synthetic Domain Theory (SDT) provides several models consisting of a logical universe C (usually a topos) and a full reflective sub-category  $\mathcal{D}$  of  $\mathcal{C}$ , which is as good as the category of cpos for the purposes of Denotational Semantics. Therefore, any monad T over  $\mathcal{D}$ , used for interpreting some programming language (but not  $EL_T$ ), may be extended (via the reflection) to the whole of  $\mathcal{C}$ , and used for interpreting  $EL_T$ . Moreover, when the typed predicate logic is sufficiently expressive (i.e. it allows formation of subtypes and has equality and existential quantification), necessity is definable and axioms for it may be proved sound formally, without referring to the categorical semantics.

The rest of the paper is organized as follows: Section 2 gives the syntax of  $EL_T$  and exemplifies its new semantics; Section 3 discusses limitations of the semantics proposed in [14]; Section 4 presents the new semantics in full generality and gives further examples; Section 5 explains how, in the setting of SDT, it is possible to define an interpretation of  $EL_T$  starting from an interpretation of a programming language; Section 6 relates the new semantics to the previous one; Section 7 shows that necessity is definable in terms of subset types, existential quantification and equality, and gives sound axioms for necessity.

<sup>\*</sup>This work is supported by ESPRIT BRA 6811 (Categorical Logic In Computer Science II) and EC SCIENCE twinning ERBSC1\*CT920795 (Progr. Lang. Semantics and Program Logics).

### 2 Syntax and informal semantics

 $EL_T$  is a (conservative) extension of typed predicate logic with equality.  $ML_T$  is the term language of  $EL_T$ , therefore  $ML_T$  is a (conservative) extension of typed equational logic. As typed predicate logic one may take logics such as First Order Logic (FOL), Higher Order Logic (HOL) or LCF ([22, 18]). One may also consider a predicate logic with dependent types. In fact, we need to consider such a logic to be able to express the modalities of  $EL_T$ .

### **2.1** Key features of $ML_T$ and $EL_T$

The syntactic categories of  $EL_T$  are types, terms and formulas (as in first order logic with dependent types).

• We write  $\Gamma \vdash \tau$  type for " $\tau$  is a well formed type in context  $\Gamma$ ". Types are closed under the formation rule

$$(T) \ \frac{\Gamma \vdash \tau \ \text{type}}{\Gamma \vdash T\tau \ \text{type}}$$

 $T\tau$  is called a **computational type**, and terms of type  $T\tau$  should be thought of as programs which return values of type  $\tau$ .

A context  $\Gamma$  is a sequence  $x_1: \tau_1, \ldots, x_n: \tau_n$ , where  $x_i$  are distinct variables and  $\tau_i$  are well formed types.

• We write  $\Gamma \vdash e: \tau$  for "e is a well formed term of type  $\tau$  in context  $\Gamma$ ". Terms are closed under the formation rules

$$\begin{array}{l} \text{(lift)} \quad \frac{\Gamma \vdash e:\tau}{\Gamma \vdash [e]:T\tau} \\ \text{(let)} \quad \frac{\Gamma \vdash e_1:T\tau_1 \quad \Gamma, x:\tau_1 \vdash e_2:T\tau_2}{\Gamma \vdash (\text{let } x \Leftarrow e_1 \text{ in } e_2):T\tau_2} \ x \notin \tau_2 \end{array}$$

Intuitively the program [e] simply returns the value e, while (let  $x \leftarrow e_1$  in  $e_2$ ) first evaluates  $e_1$  and binds the result to x, then evaluates  $e_2$ .

• We write  $\Gamma \vdash \phi$  prop for " $\phi$  is a well formed formula in context  $\Gamma$ ". Formulas are closed under the formation rules

$$(\text{necessity}) \frac{\Gamma \vdash e: T\tau \qquad \Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash [x \Leftarrow e] \phi \text{ prop}}$$
$$(\text{possibility}) \frac{\Gamma \vdash e: T\tau \qquad \Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash \langle x \Leftarrow e \rangle \phi \text{ prop}}$$
$$(\text{evaluation}) \frac{\Gamma \vdash e: T\tau \qquad \Gamma \vdash v: \tau}{\Gamma \vdash e \Downarrow v \text{ prop}}$$

Intuitively the formula  $[x \leftarrow e] \phi$  means that every possible result of program e satisfies  $\phi$ ,  $\langle x \leftarrow e \rangle \phi$ means that some possible result of program e satisfies  $\phi$ , and  $e \Downarrow v$  means that v is one possible result of program e.

• We write  $\Gamma \vdash \Phi \Longrightarrow \phi$  for " $\Phi$  entails  $\phi$ ".

**Remark 2.1** In the formation rule (let) it is unclear what type should be assigned to (let  $x \leftarrow e_1$  in  $e_2$ ), without the side-condition  $x \notin \tau_2$  (i.e. x is not among the free variables of  $\tau_2$ ).

#### **2.2** A set-theoretic semantics of $EL_T$

In this section we specialize the categorical semantics of  $EL_T$  to the category **Set** of sets. Since **Set** is a topos, we can take as typed predicate calculus *HOL* with dependent types:

- a context  $\Gamma$  is interpreted by a set  $I \in \mathbf{Set}$
- a type  $\Gamma \vdash \tau$  type is interpreted by an *I*-indexed family  $X = \langle X_i | i \in I \rangle$  of sets
- a term  $\Gamma \vdash e: \tau$  is interpreted by an *I*-indexed family  $x = \langle x_i \in X_i | i \in I \rangle$  of elements
- a formula  $\Gamma \vdash \phi$  prop is interpreted by a subset of *I*.

To extend the interpretation of HOL with dependent types to  $ML_T$ , one need a fibered monad on **Set** fibered over itself. But in **Set** fibered monads correspond to ordinary monads, namely a monad  $(T, \eta, \mu)$  induces the fibered monad  $T_I(\langle X_i | i \in I \rangle) =$  $\langle T(X_i) | i \in I \rangle$  (and any fibered monad is obtained in this way). Therefore, given a monad  $(T, \eta, \mu)$  over **Set** the interpretation can be extended to  $ML_T$  as follows (compare with [12]):

$$\begin{split} \underline{\llbracket \Gamma \vdash \tau \text{ type} \rrbracket} &= \langle X_i | i \in I \rangle \\ \underline{\llbracket \Gamma \vdash T\tau \text{ type} \rrbracket} &= \langle TX_i | i \in I \rangle \\ \underline{\llbracket \Gamma \vdash e: \tau \rrbracket} &= \langle TX_i | i \in I \rangle \\ \underline{\llbracket \Gamma \vdash [e]: T\tau \rrbracket} &= \langle \eta_{X_i}(x_i) \in TX_i | i \in I \rangle \\ \underline{\llbracket \Gamma \vdash e_1: T\tau_1 \rrbracket} &= \langle c_i \in TX_i | i \in I \rangle \\ \underline{\llbracket \Gamma \vdash e_2: T\tau_2 \rrbracket} &= \langle f_i x \in TY_i | i \in I, x \in X_i \rangle \\ \underline{\llbracket \Gamma \vdash (\det x \Leftarrow e_1 \text{ in } e_2): T\tau_2 \rrbracket} &= \langle f_i^*(c_i) \in TY_i | i \in I \rangle \end{split}$$

where  $f^* = (Tf)$ ;  $\mu_Y: TX \to TY$  when  $f: X \to TY$ .

In HOL one can define evaluation predicate and possibility in terms of necessity (see [14]):

•  $\Gamma \vdash (e \Downarrow v) \stackrel{\Delta}{\equiv} \forall X : \Omega^{\tau} . ([x \Leftarrow e] X(x)) \supset X(v)$ 

•  $\Gamma \vdash (\langle x \Leftarrow e \rangle \phi) \stackrel{\Delta}{\equiv} \forall w : \Omega . ([x \Leftarrow e](\phi \supset w)) \supset w$ 

where  $\Omega$  is the type of truth values. In classical logic possibility is simply  $\neg([x \leftarrow e] \neg \phi)$ .

In FOL over  $ML_T$  with subset types necessity is definable as follow (see Theorem 7.4):

•  $\Gamma \vdash ([x \leftarrow e]\phi) \stackrel{\Delta}{\equiv} \exists c: T(\{x: \tau | \phi\}).e =_{T\tau} Tic$ , where  $\Gamma, x: \{x: \tau | \phi\} \vdash i(x): \tau$  is the inclusion of  $\{x: \tau | \phi\}$ into  $\tau$  and Tic stands for the term let  $x \leftarrow c \text{ in } [i(x)]$ .

**Example 2.2** In the following examples of monad  $(T, \eta, \mu)$  over **Set** we give TA and the meaning of  $c \Downarrow a$ ,  $[x \Leftarrow c]p(i, x)$  and  $\langle x \Leftarrow c \rangle p(i, x)$ , where i: I,  $a: A_i$ ,  $c: T(A_i)$  and p is a predicate over  $\Sigma i: I.A_i$ .

- exceptions TA = A + E, E set of exceptions  $c \Downarrow a$  iff c = [a], i.e.  $c = in_1(a)$   $[x \Leftarrow c]p(i, x)$  iff  $\forall x: A_i.c \Downarrow x \supset p(i, x)$  $\langle x \Leftarrow c \rangle p(i, x)$  iff  $\exists x: A_i.c \Downarrow x \land p(i, x)$ ;
- non-determinism  $TA = \mathcal{P}_{fin}(A)$

 $c \Downarrow a$  iff  $a \in c$ , while the modalities are defined *like* for exceptions;

- side-effects TA = P<sub>fin</sub>(A×S)<sup>S</sup>, S set of states
  c↓a iff ∃s, s': S.⟨a, s'⟩ ∈ cs, while the modalities are defined *like* for exceptions;
- resumptions  $TA = \mu X \cdot \mathcal{P}_{fin}(A + X)$ , i.e. the set of finite trees with leaves labeled by elements of A $c \Downarrow a$  iff "at least one leaf of c is labeled by a", while the modalities are defined *like* for exceptions;
- continuations  $TA = R^{(R^A)}$ , R set of results

 $\begin{array}{l} [x \Leftarrow c] p(i,x) \quad \text{iff} \ \forall k,k' \colon R^{A_i}.(\forall x \colon A_i.p(i,x) \supset kx = k'x) \supset ck = ck', \text{ i.e.} \ \ ck = ck' \ \text{iff} \ k,k' \colon R^{A_i} \ \text{agree} \\ \text{on} \ \{x \colon A_i | p(i,x)\}^{"} \end{array}$ 

 $\langle x \leftarrow c \rangle p(i,x)$  iff  $\neg [x \leftarrow c] \neg p(i,x)$ , i.e. "there exist k and k' that may differ only on  $\{x: A_i | p(i,x)\}$  and s.t.  $ck \neq ck'$ "

 $c \Downarrow a$  iff  $\langle x \Leftarrow c \rangle (x =_{A_i} a)$ , i.e. "there exist k and k' differing only on a and s.t.  $ck \neq ck'$ "

### 3 Counter-examples

The semantics of necessity (for  $EL_T$  without dependent types) in [14] relies on additional properties of strong monads. If

• C is a category with finite products, used for interpreting types and terms

- *M* is a class of monos stable under pullback, used for interpreting formulas
- (T, t) is a strong endofunctor, used for interpreting computational types, s.t. T preserves monos in  $\mathcal{M}$ , i.e.  $m \in \mathcal{M}$  implies  $Tm \in \mathcal{M}$

then the interpretation of necessity is

$$\frac{\llbracket \Gamma, x: \tau \vdash \phi \text{ prop} \rrbracket}{\llbracket \Gamma, c: T\tau \vdash [x \Leftarrow c] \phi \text{ prop} \rrbracket} = [m] \in \mathcal{M}[\Gamma \times \tau]$$

where [m] is the subobject corresponding to the mono m, and  $f^*[m]$  is the inverse image of  $[m] \in \mathcal{M}[Y]$  along  $f: X \to Y$ .

**Remark 3.1** One further assumption on (T, t) is needed to show that the interpretation *commutes with substitution* of variables in  $\Gamma$  (see [14]).

In a category  $\mathcal{C}$  with finite limits, there are two obvious choices for  $\mathcal{M}$ : the class of all monos, and the class of regular monos (i.e. equalizers of parallel pairs) closed under composition. The first choice is *maximal*, the second is *minimal* at least for interpreting equality predicates, and in a topos the two choices coincide. In the category **Cpo** of cpos (i.e. posets with lubs of  $\omega$ chains) regular monos correspond to inductive subsets (i.e. subsets of a cpo closed under lubs of  $\omega$ -chains).

**Example 3.2** In **Set** every monad (but not every endofunctor) preserves monos, and for the monads considered in Example 2.2 the two interpretations of necessity agree (see Theorem 6.4).

Most of the strong monads over **Cpo** used in Denotational Semantics satisfy the additional properties requested in [14], when  $\mathcal{M}$  is the class of inductive subsets. However, there are two important counter-examples:

- $\Sigma$ -continuations  $TX = \Sigma^{(\Sigma^X)}$ , where  $\Sigma$  is the cpo which classifies Scott-open subsets;
- Plotkin's powerdomain  $TX = P_p(X_{\perp})$ , where  $P_p(X)$  is the free binary semi-lattice over X.

**Remark 3.3**  $TX = 2^{(2^X)}$ , where 2 is the flat poset with two elements, is already a counter-example (even in the category of posets). But it is not used in Denotational Semantics, as TX does not have a least element. Other counter-examples, based on monads for *dynamic allocation* over a category of parametric functors (see [15, 19]), are too complex to be described here. **Proposition 3.4** In Cpo there is a regular mono m s.t. Tm is not monic, when T is the monad of  $\Sigma$ -continuations or Plotkin's powerdomain.

**Proof** Let  $L \cong (1 + L)_{\perp}$  be the cpo of lazy natural numbers, whose elements are:  $s^n(0)$ ,  $s^n(\perp)$  and  $\infty$ . The order on L is generated by  $s^n(\perp) < s^{n+1}(\perp), s^n(0), \infty$  for every  $n \in N$ . The mono m corresponds to the inductive subset M of maximal elements  $\{s^n(0)|n \in N\} \cup \{\infty\}$ . m can be described as the equalizer of  $s, s': L \to L$ , where  $s(s^n(\perp)) = s^{n+1}(\perp), s(s^n(0)) = s'(s^n(0)) = s^{n+1}(0)$  and are the identity otherwise.

Consider the case  $TX = \Sigma^{(\Sigma^X)}$  first. Since  $\Sigma^X$  is isomorphic to the lattice of open subsets of X, then the elements of TX may be thought as open subsets of open subsets of X. Since M is a flat cpo every subset of M is open. Let  $O \triangleq \{U \subseteq M | \exists n: N.s^n(0) \in U\}$  and  $O' \triangleq O \cup \{\{\infty\}\}$ , then O and O' are distinct elements of TM. However, Tm maps O and O' to the same element in TX, namely  $O'' \triangleq \{V \in \Sigma^L | \exists n: N.s^n(0) \in V\}$ . In fact, when V is an open subset of L and  $\infty \in V$ , then  $s^n(0) \in V$  for some  $n \in N$ .

To prove that Tm is not monic, when  $TX = P_p(X_{\perp})$ , one has to use the explicit description of Plotkin's powerdomain available for SFPs. We skip the details.

#### 4 A new semantics of $EL_T$

In this section we consider a semantics for the necessity modality of  $EL_T$ , which is based on different assumptions about C,  $\mathcal{M}$  and T. We briefly recall the necessary background about fibrations and factorization systems, and refer to [1, 9] and [2, 5] for more details.

**Definition 4.1 (Fibrations)** Given  $p: \mathcal{C} \to \mathcal{B}$ , we say that

- $f \in \mathcal{C}(Y,X)$  is p-cartesian  $\Leftrightarrow$  for every  $g \in \mathcal{C}(Z,X)$  and  $h' \in \mathcal{B}(pZ,pY)$  s.t. pg = h'; (pf) exists unique  $h \in \mathcal{C}(Z,Y)$  s.t. g = h; f and h' = ph
- $p: \mathcal{C} \to \mathcal{B}$  is a fibration (over  $\mathcal{B}$ )  $\iff$  for every  $X \in \mathcal{C}$  and  $f' \in \mathcal{B}(Y', pX)$  exists  $f \in \mathcal{C}(Y, X)$  s.t. f is p-cartesian and f' = pf.

Fibrations over  $\mathcal{B}$  form a 2-category  $Fib(\mathcal{B})$  with fibered functors as 1-cells and vertical natural transformations as 2-cells:

- given two fibrations p: C → B and q: D → B, F: C →
  D is a fibered functor from p to q ⇔ p = F; q
  and F takes p-cartesian maps to q-cartesian maps
- given two fibered functors  $F, G: \mathcal{C} \to \mathcal{D}$  from p to q,  $\sigma: F \to G$  is a vertical natural transformation from F to  $G \iff \forall c \in \mathcal{C}.q(\sigma_c) = \mathrm{id}_{pc}.$

**Notation 4.2** Given two fibrations  $p: \mathcal{C} \to \mathcal{B}$  and  $q: \mathcal{D} \to \mathcal{B}$ , fibered functors  $F, G: p \to q$ , a vertical natural transformation  $\sigma: F \to G$ , and  $I \in \mathcal{B}$ , we write

- $C_I$  for the **fiber** of p over I, i.e. the sub-category of C s.t.  $f: X \to Y$  in  $C_I$  iff  $pf = id_I$
- $F_I$  for the functor from  $C_I$  to  $\mathcal{D}_I$  s.t.  $F_I f = F f$
- $\sigma_I$  for the natural transformation from  $F_I$  to  $G_I$  s.t.  $\sigma_{I,c} = \sigma_c$ .

**Remark 4.3** Since  $Fib(\mathcal{B})$  is a 2-category, fibered monads and fibered adjunctions can be defined in the 2-categorical way. If  $\mathcal{C}$  is a category with finite limits, then  $cod: \mathcal{C}^{\rightarrow} \rightarrow \mathcal{C}$  is a fibration, called  $\mathcal{C}$  **fibered over itself**, and the fiber over I is denoted by  $\mathcal{C}/I$ . Moreover, a class  $\mathcal{M}$  of monos in  $\mathcal{C}$  stable under pullback induces a *posetal* fibration  $\mathcal{M}$  over  $\mathcal{C}$ , s.t. the fiber  $\mathcal{M}[I]$  over I is the poset of subobjects of I represented by monos in  $\mathcal{M}$ .

**Remark 4.4** C and M as above can be used for interpreting a predicate calculus with dependent types:

- a context  $\Gamma$  is interpreted by an object  $I \in \mathcal{C}$
- a type  $\Gamma \vdash \tau$  type is interpreted by a  $\pi: X \to I$ , i.e. an object  $\pi \in \mathcal{C}/I$ , and  $\Gamma, x: \tau$  is interpreted by X
- a term  $\Gamma \vdash e: \tau$  is interpreted by a section x of  $\pi$ , i.e. an  $x: I \to X$  in  $\mathcal{C}$  s.t.  $x; \pi = \mathrm{id}_I$ , or equivalently an element  $x: 1 \to \pi$  of  $\pi$  in  $\mathcal{C}/I$
- a formula  $\Gamma \vdash \phi$  prop is interpreted by a subobject  $[m] \in \mathcal{M}[I].$

a discrete split fibration and p is a cartesian natural transformation (see [11]), so that:

•  $\Gamma$  is interpreted by an object  $I \in \mathcal{C}$ 

- $\Gamma \vdash \tau$  type is interpreted by an object  $X \in \mathcal{D}_I$ , and  $\Gamma, x: \tau$  is interpreted by G(X)
- $\Gamma \vdash e: \tau$  is interpreted by a section x of  $p_X$ .

Further canonical choices are needed for interpreting subset types  $\{x: \tau | \phi\}$ . For the sake of presentation, we ignore canonical choices as far as possible. Nevertheless, all examples of C we consider admit simple canonical choices.

**Definition 4.5 (Factorization systems)** Given a category C with pullbacks, we say that a class D of morphisms is stable  $\stackrel{\Delta}{\Longrightarrow} d \in D$  and



 $(\mathcal{E}, \mathcal{M})$  is a factorization system  $\iff$ 

- E and M are classes of morphisms containing all isos and closed under composition,
- for every morphism f in C exist  $e \in \mathcal{E}$  and  $m \in \mathcal{M}$ s.t. f = e; m, and the factorization (e, m) is unique up to isomorphism.

Moreover,  $(\mathcal{E}, \mathcal{M})$  is called **stable** if  $\mathcal{E}$  and  $\mathcal{M}$  are stable, and **proper** if each  $e \in \mathcal{E}$  is epi and each  $m \in \mathcal{M}$  is mono.

Notation 4.6 Given a morphism  $f: Y \to X$  in  $\mathcal{C}$  with proper factorization (e, m), we write  $img_{\mathcal{M}}(f)$  for the subobject  $[m] \in \mathcal{M}[X]$ .

**Remark 4.7** In a factorization system the class  $\mathcal{M}$  is always stable. Moreover,  $\mathcal{E}$  can be recovered from  $\mathcal{M}$  (and conversely). Therefore a class of monos  $\mathcal{M}$  identifies at most one (stable and) proper factorization system  $(\mathcal{E}, \mathcal{M})$ .

The new semantics of  $EL_T$  (with dependent types) relies on the following assumptions, if

- C is a category with finite limits
- $(\mathcal{E}, \mathcal{M})$  is a stable and proper factorization system
- T is a fibered endofunctor over  $cod: \mathcal{C}^{\rightarrow} \rightarrow \mathcal{C}$

then the interpretation of computational types and necessity is

$$\begin{array}{c} \underline{\llbracket \Gamma \vdash \tau \text{ type} \rrbracket} &= (\pi \colon \Gamma, \tau \to \Gamma) \in \mathcal{C}/\Gamma \\ \underline{\llbracket \Gamma \vdash T\tau \text{ type} \rrbracket} &= (T_{\Gamma}\pi \colon \Gamma, T\tau \to \Gamma) \in \mathcal{C}/\Gamma \end{array}$$

$$\frac{\llbracket \Gamma, x: \tau \vdash \phi \operatorname{prop} \rrbracket}{\llbracket \Gamma, c: T\tau \vdash [x \Leftarrow c] \phi \operatorname{prop} \rrbracket} = [m] \in \mathcal{M}[\Gamma, \tau]$$
$$= img_{\mathcal{M}}(T_{\Gamma}m) \in \mathcal{M}[\Gamma, T\tau]$$



(One can show that the interpretation commutes with substitution of variables in  $\Gamma$ .)

**Remark 4.8** The assumptions on C and  $\mathcal{M}$  are stronger than those made in [14]. A fibered endofunctor T over  $cod: C^{\rightarrow} \rightarrow C$  induces a strong endofunctor over C, which may not preserve monos in  $\mathcal{M}$ .

**Example 4.9** In **Set** there is only one stable and proper factorization system:  $\mathcal{E}$  = the class of all epis and  $\mathcal{M}$  = the class of all monos. In fact, this is true in any other topos.

The fiber  $\mathbf{Set}/I$  is equivalent to the category  $\mathbf{Set}^{I}$  of *I*-indexed families of sets.

Fibered endofunctors over  $cod: \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$  correspond to endofunctors over  $\mathbf{Set}$ . In fact, an endofunctor T over  $\mathbf{Set}$  induces the fibered endofunctor s.t.  $T_I(\langle X_i | i \in I \rangle) = \langle TX_i | i \in I \rangle$ .

**Example 4.10** An  $\omega$ -set  $\underline{X}$  is a pair  $(X, ||_X)$ , where X is a set and  $||_X \subseteq N \times X$  (see [10, 17]). A morphism  $f: \underline{X} \to \underline{Y}$  is a function  $f: X \to Y$  s.t.  $\exists e: N.\forall x: X.\forall m: N.m ||_X x \supset e \cdot m ||_Y f(x)$ , e is called a **realizer** of  $f(e||_f$  for short).

In the category  $\omega$ **Set** of  $\omega$ -sets there are two canonical stable and proper factorization systems: either  $\mathcal{E}$  = the class of all epis and  $\mathcal{M}$  = the class of regular monos, or  $\mathcal{E}$  = the class of regular epis and  $\mathcal{M}$  = the class of all monos. In fact, this is true in any other quasi-topos (see [24]).

The fiber  $\omega \mathbf{Set}/\underline{\mathbf{I}}$ , where  $\underline{\mathbf{I}} = (I, ||-_I)$ , is equivalent to the sub-category of  $\omega \mathbf{Set}^I$  whose morphisms are realizable families, where  $\langle f_i : \underline{\mathbf{X}}_i \to \underline{\mathbf{Y}}_i | i \in I \rangle$  is **realizable**  $\stackrel{\Delta}{\Longleftrightarrow} \exists e: N. \forall i: I. \forall m: N.m ||-_I i \supset e \cdot m ||-f_i.$ 

Fibered endofunctors over  $cod: \omega \mathbf{Set}^{\rightarrow} \rightarrow \omega \mathbf{Set}$  correspond to realizable endofunctors over  $\omega \mathbf{Set}$ , where T is **realizable** iff there exists  $e \in N$  s.t.  $e \cdot n \models Tf$ 

when  $n \models f$  (see [3]). The fibered endofunctor corresponding to a realizable endofunctor T over  $\omega$ **Set** is defined by analogy with **Set**.

**Remark 4.11** In **Cpo** there is a proper factorization system:  $\mathcal{E}$  = the class of all epis and  $\mathcal{M}$  = the class of regular monos. However, it is not stable.

## 5 Synthetic Domain Theory setting

The proposed semantics for  $EL_T$  is drastically at odds with classical Domain Theory. Anyway, when looking for an expressive logic (including FOL) we are forced to leave the category of cpos, as only a fragment of FOL may be interpreted in **Cpo**. Fortunately, one may reconcile the needs of Denotational Semantics with an expressive logic by moving to the SDT setting (see [7, 23]).

**Definition 5.1** Given two fibrations  $p: \mathcal{C} \to \mathcal{B}$  and  $q: \mathcal{D} \to \mathcal{B}$ , we say that q is a **full reflective sub-fibration** of  $p \iff$  there is a full and faithful fibered functor  $i: q \to p$  with a fibered left adjoint  $r \dashv i$ .

For our purposes the main result of SDT is:

there are quasi-toposes C (more generally leftexact categories with a stable and proper factorization system) with a full reflective subfibration  $q: \mathcal{D} \to C$  of  $cod: \mathcal{C}^{\to} \to C$ , which has all the desiderata for Denotational Semantics, in particular the objects of  $\mathcal{D}$  look like (indexed families of) cpos.

We are left to show that, given a semantics for a programming language PL in the fiber  $\mathcal{D}_1$  over 1, we can *construct* a fibered monad T over *cod* (so that one can interpret  $EL_T$ ).

- Usually the semantics of PL can be given via translation in a typed metalanguage ML for Denotational Semantics, which has an *intended* interpretation in any *reasonable* category for Denotational Semantics, in particular in  $\mathcal{D}_1$ .
- According to the monadic approach (see [12]) the translation from PL to ML should factor through a metalanguage  $ML_T(\Sigma)$  for computational monads. Therefore, one has a monad over  $\mathcal{D}_1$ , which is expressible in ML.
- Under reasonable assumptions about ML and q, a syntactic description of a monad in ML induces a fibered monad T over q.

• Finally, any fibered monad *T* over *q* extends, via the fibered adjunction *r* ⊣ *i*, to a fibered monad *r*; *T*; *i* over the fibration *cod*.

In conclusion, we have argued that it is possible to satisfy the assumptions for the new semantics of  $EL_T$ , whenever one starts from a semantics of PL in  $\mathcal{D}_1$ given via translation into a metalanguage for Denotational Semantics.

**Remark 5.2** In the literature there are several ways of constructing  $\mathcal{D}$  from  $\mathcal{C}$ : the category of complete extensional PERs of [3, 21]), the category of complete  $\Sigma$ -spaces of [16], the category of  $\Sigma$ -replete objects (see [7, 23]).

**Example 5.3** We show that the category ExP of complete extensional PERs can be turned into a full reflective sub-fibration of  $\omega$ **Set** fibered over itself.

- Consider the small category PER of partial equivalence relations (PERs) and its full reflective subcategory ExP of complete extensional PERs defined in [3].
- *PER* and *ExP* can be turned into internal categories in  $\omega$ **Set** (indeed they are full internal subcategories of  $\omega$ **Set**).
- The reflection  $r \dashv i$  defined in [3] can be made internal, i.e. the relevant functors and natural transformations are realizable ([3] provides all the necessary information to prove this). Therefore, ExP is a full reflective sub-category of PER in the 2-category  $Cat(\omega Set)$  of internal categories in  $\omega Set$ .
- The externalization 2-functor (from  $Cat(\omega Set)$  to the 2-category of fibrations over  $\omega Set$ ) turns PERinto a (split) fibration over  $\omega Set$  and ExP into a full reflective sub-fibration of PER. Since PER is a full reflective sub-fibration of  $\omega Set$  fibered over itself (see [8]), so is ExP.

The result cannot be improved by replacing  $\omega \mathbf{Set}$  with the Effective Topos Eff, because PER is not a full reflective sub-fibration of Eff fibered over itself.

### 6 Relation to previous semantics

In this section we give sufficient conditions to ensure that the new semantics of necessity agrees with that proposed in [14]. But first we need some auxiliary results. **Proposition 6.1** If C is a category with finite limits, then C/I has finite limits and any stable class  $\mathcal{M}$  (of monos) on C induces a stable class  $\mathcal{M}/I$  (of monos) on C/I given by



**Proposition 6.2** If C is a category with finite limits and T is a fibered endofunctor over  $\operatorname{cod}: C^{\rightarrow} \to C$ , then  $T_I$  has a tensorial strength  $t_I$ .

**Proof** Given  $(\alpha: A \to I)$  and  $(\beta: B \to I)$  objects of  $\mathcal{C}/I$ , the tensorial strength  $t_{I,\alpha,\beta}$  is



where the product of  $\alpha$  and  $\beta$  in  $\mathcal{C}/I$  is given by



**Remark 6.3** Since C is isomorphic to the fiber C/1, then a fibered endofunctor T on *cod* induced a strong endofunctor over C corresponding to  $(T_1, t_1)$ .

**Theorem 6.4** If the assumptions required by the new semantics of necessity are satisfied, and moreover for every  $I \in C$  the functor  $T_I$  preserves pullbacks of monos in  $\mathcal{M}/I$ , i.e. in C/I



then, the semantics of necessity according to [14] is defined and agrees with the new semantics.

#### 7 Definability and sound axioms

In this section we give axioms for necessity sound w.r.t. the new semantics. These axioms are a subset of those considered in [14]. The simplest way to validate them is to express necessity in terms of standard logical constants, and then use the familiar rules satisfied by these logical constants.

**Proposition 7.1** If C has finite limits and M is a stable class of monos, then one can interpret subset types

$$(\{|\}) \frac{\Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash \{x: \tau | \phi\} \text{ type}} (i) \frac{\Gamma \vdash e: \{x: \tau | \phi\}}{\Gamma \vdash i_{x:\tau,\phi}(e): \tau}$$
$$(j) \frac{\Gamma \vdash e: \tau \quad \Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash j_{x:\tau,\phi}(e): \{x: \tau | \phi\}}$$

**Proof** Given  $\Gamma \vdash \tau$  type and  $\Gamma, x: \tau \vdash \phi$  prop, interpreted by  $(\pi: \Gamma, \tau \to \Gamma) \in \mathcal{C}/\Gamma$  and  $[m: \phi \hookrightarrow \Gamma, \tau] \in \mathcal{M}[\Gamma, \tau]$  respectively, then

- the interpretation of  $\Gamma \vdash \{x: \tau | \phi\}$  type is given by  $(m; \pi: \phi \to \Gamma) \in \mathcal{C}/\Gamma$
- the interpretation of  $\Gamma \vdash i(e): \tau$  is given by f; m, when  $\Gamma \vdash e: \{x: \tau | \phi\}$  is interpreted by  $f: \Gamma \to \phi$  s.t.  $f; (m; \pi) = \text{id.}$
- the interpretation of  $\Gamma \vdash j(e)$ :  $\{x: \tau | \phi\}$  is given by the unique f' s.t. f = f'; m, when  $\Gamma \vdash e: \tau$  is interpreted by f s.t.  $f; \pi = \text{id}$  and  $f^*[m] = [\text{id}_{\Gamma}]$ .

**Remark 7.2** The term constructors  $i_{x:\tau,\phi}$  and  $j_{x:\tau,\phi}$  play the role of explicit coercions, and it is often convenient to leave them implicit.

A correct interpretation of subset types relies on canonical choices of representative for each subobject  $[m] \in \mathcal{M}[I]$ . More precisely, we need a category with

units and sums (see [11]) and a fibered embedding  $\iota: |\mathcal{M}| \to \pi$  s.t.  $[m] = [p_{\iota([m])}]$ . Intuitively,  $\iota$  maps the interpretation of  $\phi$  to the interpretation of the subset  $\{*: 1|\phi\}$  of the unit type 1. In the examples based on sets and  $\omega$ -sets,  $\iota$  is easy to define.

**Proposition 7.3** If C has finite limits and  $(\mathcal{E}, \mathcal{M})$  is a stable and proper factorization system, then one can

interpret equality and existential quantification

$$(=) \frac{\Gamma \vdash \tau \text{ type}}{\Gamma \vdash e_i: \tau} (\exists) \frac{\Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash \exists x: \tau.\phi \text{ prop}}$$

**Proof** Given a type  $\Gamma \vdash \tau$  type whose interpretation is  $(\pi: \Gamma, \tau \to \Gamma) \in \mathcal{C}/\Gamma$ , the interpretation of equality over  $\tau$  (according to Categorical Logic) is the diagonal  $\Delta: \pi \hookrightarrow \pi \times_{\Gamma} \pi$  in  $\mathcal{C}/\Gamma$ . We need to show that  $\Delta \in \mathcal{M}$ :

Δ is a regular mono in C, e.g. is the equalizer of the two projections π<sub>1</sub>, π<sub>2</sub>: π×<sub>Γ</sub>π → π in C/Γ



• every regular mono is in  $\mathcal{M}$ . More precisely, if m is the equalizer of f and g, then  $[m] = img_{\mathcal{M}}(m)$ .

Let (e, n) be the  $(\mathcal{E}, \mathcal{M})$ -factorization of m, then m factors through n (as m = e; n), while n factors through m because n equalizes f and g (as e; n; f = m; f = m; g = e; n; g and e is epi).

The interpretation of existential quantification along  $\pi: \Gamma, \tau \to \Gamma$  is given by the left adjoint  $\exists_{\pi}$ to  $\pi^*: \mathcal{M}[\Gamma] \to \mathcal{M}[\Gamma, \tau]$ , which must also satisfy the Beck-Chevalley. It is easy to show that:

- $\exists_{\pi}([m]) = img_{\mathcal{M}}(m; \pi)$
- the Beck-Chevalley condition holds, since the factorization system (\$\mathcal{E}\$, \$\mathcal{M}\$) is stable.

**Theorem 7.4** If the assumptions required for interpreting necessity according to the new semantics are satisfied, then

 $(\Box) \frac{\Gamma \vdash e: T\tau \quad \Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma \vdash [x \Leftarrow e] \phi \iff \exists c': T(\{x: \tau | \phi\}).e =_{T\tau} Tic'}$ where Tic' stands for the term let  $x' \Leftarrow c' \text{ in } [i(x')].$ 

**Proof** Let  $\llbracket \Gamma \vdash \tau$  type  $\rrbracket = \pi \colon \Gamma, \tau \to \Gamma$  and  $\llbracket \Gamma, x \colon \tau \vdash \phi$  prop  $\rrbracket = [m \colon \phi \hookrightarrow \Gamma, \tau]$ , then

- $\llbracket \Gamma \vdash \{x: \tau | \phi\}$  type  $\rrbracket = (m; \pi: \phi \to \Gamma)$
- $\llbracket \Gamma, c: T\tau \vdash [x \Leftarrow c] \phi \operatorname{prop} \rrbracket = img_{\mathcal{M}}(T_{\Gamma}m)$
- $\llbracket \Gamma, x: \{x: \tau | \phi\} \vdash i(x): \tau \rrbracket = i$ , where



•  $\llbracket \Gamma, c: T\tau, c': T(\lbrace x: \tau | \phi \rbrace) \vdash c = Tic' \text{ prop} \rrbracket = [n],$  where



•  $\llbracket \Gamma, c: T\tau \vdash \exists c': T(\{x: \tau | \phi\}).c = Tic' \operatorname{prop} \rrbracket = \exists_{q_2}([n])$ 

Therefore, we must prove that  $\exists_{q_2}([n]) = img_{\mathcal{M}}(T_{\Gamma}m)$ :

- $\exists_{q_2}([n]) = by$  definition of  $\exists_f$  (see Proposition 7.3)
- $img_{\mathcal{M}}(n;q_2) = by$  definition of n
- $img_{\mathcal{M}}(T_{\Gamma}m)$

With the characterization of necessity given above, it is quite easy to derive rules for necessity from those for existential quantification, equality predicate, subset and computational types.

**Corollary 7.5** The following rules are sound w.r.t. the new semantics of  $EL_T$ :

• 
$$(\Box - \top^*) \xrightarrow{\Gamma \vdash \tau \text{ type}} \overline{\Gamma, c: T\tau \vdash \top \Longrightarrow [x \Leftarrow c] \top}$$

• 
$$(\Box \rightarrow)$$
  $\xrightarrow{\Gamma \vdash e: \tau}{\Gamma, x: \tau \vdash \Phi, \phi \Longrightarrow \psi} x \notin \Phi$ 

• 
$$(\Box - T) \frac{\Gamma, x: \tau_1 \vdash e: \tau_2 \qquad \Gamma, x: \tau_2 \vdash \phi \text{ prop}}{\Gamma, c: T\tau_1 \vdash \Phi \Longrightarrow [x \Leftarrow c]([e/x]\phi)}$$

• 
$$(\Box - =) \frac{\Gamma \vdash e: T\tau_1 \qquad \Gamma, x: \tau_1 \vdash e_i: \tau_2}{\Gamma \vdash \Phi \Longrightarrow ([x \Leftarrow e]e_1 =_{\tau_2} e_2)} x \notin \tau_2$$

where  $T(x.e_i)e$  stand for the term let  $x \Leftarrow e \operatorname{in} [e_i]$ 

• 
$$(\Box - \eta) \frac{\Gamma, x: \tau \vdash \phi \text{ prop}}{\Gamma, x: \tau \vdash \phi \Longrightarrow [x \Leftarrow [x]] \phi}$$

**Proof** As a example we derive the rule  $(\Box \rightarrow \Longrightarrow)$ . First, we restrict to the case  $\Phi = \emptyset$ , by applying the bi-rule

$$(\vdash) \underbrace{\Gamma \vdash \phi, \Phi \Longrightarrow \psi}{\Gamma, x \colon \{x \colon 1 | \phi\} \vdash \Phi \Longrightarrow \psi} x \notin \Gamma$$

where 1 is the unit type.

Therefore, from  $\Gamma, x: \tau \vdash \phi_1 \Longrightarrow \phi_2$  we must derive  $\Gamma \vdash \exists c_1: T\tau_1.e = Ti_1c_1 \Longrightarrow \exists c_2: T\tau_2.e = Ti_2c_2$ , where  $\tau_i$  and  $i_i$  stand for  $\{x: \tau | \phi_i\}$  and  $i_{x:\tau.\phi_i}$ . For simplicity, let us assume that  $\Gamma = \emptyset$ :

- $x: \tau_1 \vdash \phi_1(i_1(x))$ , by rules for i
- $x: \tau_1 \vdash \phi_2(i_1(x))$ , by the assumption
- $x: \tau_1 \vdash i_1(x) = i_2(j_2(x))$ , where  $j_2$  stand for  $j_{x:\tau,\phi_2}$ , by rules for j
- $c_1: T\tau_1 \vdash \implies Ti_1c_1 = Ti_2(T(x;\tau_1.j_2(x))c_1)$ , by equational rules for computational types
- $c_1: T\tau_1 \vdash e = Ti_1c_1 \Longrightarrow e = Ti_2(T(x;\tau_1.j_2(x))c_1)$
- $c_1: T\tau_1 \vdash e = Ti_1c_1 \Longrightarrow \exists c_2: T\tau_2.e = Ti_2c_2$
- $\vdash \exists c_1: T\tau_1.e = Ti_1c_1 \Longrightarrow \exists c_2: T\tau_2.e = Ti_2c_2$

**Remark 7.6** Commutativity of necessity with conjunction  $[x \leftarrow c](\phi_1 \land \phi_2) \iff ([x \leftarrow c]\phi_1) \land ([x \leftarrow c]\phi_2)$  fails in the new semantics.

We don't know any model which does not satisfy  $[y \leftarrow c][x \leftarrow y]\phi \Longrightarrow [x \leftarrow (\det y \leftarrow c \operatorname{in} y)]\phi.$ 

### 8 Conclusions

Most program logics are tide up to specific programming languages, look rather ad hoc, and *standard* logical axioms may be unsound. This state of affairs is not very satisfactory, since it is time-consuming (even for logicians) to get acquainted with new logics and develop good proof strategies. It would be nice if *standard* predicate logic were enough for reasoning about programs (including non-functional ones), and if proofs could be kept *simple* with a clever choice of language and axioms.

Synthetic Domain Theory has given an important contribution towards this ideal situation, by showing that the slogan "domains are sets" makes sense. Based on this, we show that a *reasonable* semantics of a programming language PL in a model C of SDT induces an interpretation of computational types over the whole C, and therefore an interpretation of  $EL_T$ (definable in *standard* predicate logic).

In a companion paper (see [13]) we give evidence of the expressiveness of  $EL_T$ , by showing that the program logic VTLoE (a Dynamic Predicate Logic for an untyped call-by-value functional language with references introduced in [6]) has a simple translation into  $EL_T$  and most of its axioms are formally derivable in  $EL_T$ . At a category-theoretic level, there seems to be close relations between the new semantics of  $EL_T$  and the topos-theoretic semantics of Modal Logics proposed by Reyes (see [20]).

#### Acknowledgements

I would like to thank A. Kock for suggesting to use indexed monads, M. Hyland, P. Rosolini, T. Streicher, P. Taylor for discussions on *SDT* related issues, B. Jacobs and J. Power for advice on fibrations, and P. Cenciarelli for comments.

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